

OPEN ACCESS POLICY OF CENTRAL UNIVERSITY OF HARYANA



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CENTRAL UNIVERSITY OF HARYANA

Open Access Policy of Central University of Haryana

Recommended by

NIRF (Overall) Committee, 2022
Central University of Haryana (CUH)

Proposed by

Pandit Deendayal Upadhyaya Central Library
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Approved by: Academic Council, CUH

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Date effective: 1st October, 2022
Date of review/ revision/ updates: Periodically (Ref. Sr. No.5.1)

Acknowledgement

(a) Competent authority, esteemed members of Academic Council, officials, faculty members, other academic staff and non-teaching staff for their valuable inputs and support;
(b) Experts from IGIDR, ICAR, JNU, and OPJG University for their suggestions while drafting this policy;
(c) Relevant policies, procedures and definitions from various sources referred while preparing this policy document and we are grateful to them.

Disclaimer

This policy is prepared to establish a robust open access eco-system and thus encourage open access initiatives at CUH. It is a preliminary step towards implementation of open access at CUH, so there is enough scope to cover some other aspects of open access in this policy which shall be taken care in the revised version of the policy in future.

For comments/ suggestions, please write to: librarian@cuh.ac.in

Document design: Publication Division, Central University of Haryana



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ABBREVIATIONS

AICTE	All India Council for Technical Education
CC-BY	Creative Commons Attribution
CC-BY-NC	Creative Commons Attribution-Non-commercial
CSIR	Council of Scientific and Industrial Research
CUH	Central University of Haryana
CUH-IDR	Central University of Haryana-Institutional Digital Repository
DBT	Department of Biotechnology
DST	Department of Science and Technology
FOSS	Free and Open Source Software
ICAR	Indian Council of Agricultural Research
IDR	Institutional Digital Repository
IGIDR	Indira Gandhi Institute of Development Research
INFLIBNET	Information and Library Network
IRINS	Indian Research Information Network System
JNU	Jawaharlal Nehru University
OA	Open Access
OAI-ORE	Open Archives Initiative- Object Reuse and Exchange
OAI-PMH	Open Archives Initiative- Protocol for Metadata Harvesting
OpenDOAR	Open-Directory of Open Access Repositories
OPJG University	O P Jindal Global University
PDU Central Library	Pandit Deendayal Upadhyaya Central Library
STIP	Science, Technology and Innovation Policy
UGC	University Grants Commission
UNESCO	United Nations Educational, Scientific and Cultural Organization

ANNEXURE

Annexure-1:	Resolution No. 35.15 passed in the 35 th Academic Council meeting held on 15 th September, 2022
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MESSAGE OF THE VICE CHANCELLOR



The research community at Central University of Haryana has been publishing with various prestigious publications of global and national repute. This also includes the reliable journals available in open access mode, thus reaching the wider reader base without any geographic restriction. Our research community is fully aware of the impact of publishing in open access journals and preferring the open access publication channels while publishing. This is resulting in wider reach to our research works and building credibility and visibility to our researchers and the University as a whole.

We intended to formalise our approach towards open access and, therefore, devised *Open Access Policy of Central University of Haryana*. This is our initial step towards creating awareness about open access practices, further strengthening our approach towards open access publishing. We are sure that this advisory policy in its first phase shall inform and create awareness among the research community of our University including the publishers. It will result in active open access publishing practices.

We are grateful to the esteemed members of the Academic Council of our University who unanimously resolved to implement this policy. I am also indebted to the faculty members, researchers and students for their preference for open access publishing and readiness to follow all provisions of the policy.

I complement our active team of Pandit Deendayal Upadhyaya Central Library for working hard on devising this policy by referring to the relevant sources and also consulting the experts of ICAR, IGIDR, JNU and OPJG University. We are grateful to the experts and seek their continued support in future versions of the policy as well.

A handwritten signature in blue ink, appearing to read 'T.K.', with a horizontal line underneath it.

Prof. Tankeshwar Kumar

MESSAGE OF THE PRO-VICE CHANCELLOR



Central University of Haryana has been reviving research and publication practices by introducing meaningful initiatives. Our collective aim is to be outstanding at research quality, quantity, delivery and reach as well. So we are taking utmost care of complying with all relevant rules and following guidelines of the statutory bodies.

Open Access Policy of Central University of Haryana is one of such initiatives that directs and targets to harness open access research and publication practices at our University and thus encourage other similar higher educational institutions to follow suit.

I admire the vision of our Hon'ble Vice Chancellor Prof. Tankeshwar Kumar for taking a meaningful policy initiative in the open access domain and also in all concerned areas of research and publications. We are indeed thankful to the Academic Council of our University for recommending to implement the policy. I am also indebted to our teaching and research community for valuing the importance of open access publishing practices.

With the successful release of *Research Integrity and Plagiarism Policy* in the recent past, Central Library has drafted this yet another policy document. Undoubtedly, the Library has been playing a vital role in the research ecosystem of the University. Hope this policy shall reap the desired benefits and achieve the noble intent of the policy.

A handwritten signature in blue ink, which appears to read 'Sushma Yadav'.

Prof. Sushma Yadav

BACKGROUND

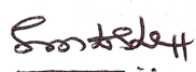
Central University of Haryana has been encouraging research publications in credible open access journals so that our research endeavours reach a wider reader-base. In result, our research publications in open access journals are increasing considerably. We are facilitating such open literature through Indian Research Information Network System (IRINS) and *Gyan Pravah*, an institutional digital repository which are well curated by Pandit Deendayal Upadhyaya Central Library.

The necessity of introducing Open Access Policy was discussed and deliberated in the NIRF (Overall) Committee meeting held under the guidance of the Hon'ble Vice Chancellor, Prof. Tankeshwar Kumar. The purpose was to establish the formal system to encourage publications in open access publishing platforms. The Committee resolved that the draft of the open access policy be prepared. Pandit Deendayal Upadhyaya Central Library took lead in drafting *Open Access Policy of Central University of Haryana* and the same was unanimously approved by the Academic Council of our University in its 35th meeting held on 15th September, 2022 for necessary implementation. In the first phase, this policy acts as an advisory policy and encourages all the concerned stakeholders to comply with the same. The policy shall be reviewed periodically to incorporate all relevant aspects of open access and publishing practices.

While drafting this policy, we referred to various sources, policies of higher educational institutes, funding agencies, and also consulted the experts viz. Dr. S M Pujar, Chief Librarian, IGIDR; Dr. Sridhar Gutam, ICAR and Open Access India; Dr. Madhan Muthu, Director (Library), OPJG University and Dr. Anup Kumar Das, JNU. Their expert inputs, valuable suggestions and encouragement benefitted us immensely in drafting this policy.

We specially thank our Hon'ble Vice Chancellor, Prof. Tankeshwar Kumar, for his splendid support, guidance and valuable inputs. We are equally indebted to Pro-Vice Chancellor Prof. Sushma Yadav, Deans, heads of departments, faculty members, officers and Library team for sharing their valuable inputs and extending all possible support. We are also thankful to our Publication Division for beautifully bringing out this policy document.

We hope that our research community shall comply with the policy and publish more and more research works in open access journals.



Dr. Santosh C. Hulagabali

University Librarian & Director, Publication Division

**OPEN ACCESS POLICY OF
CENTRAL UNIVERSITY OF HARYANA**

OPEN ACCESS POLICY OF CENTRAL UNIVERSITY OF HARYANA

1. Policy Terms

- 1.1. It is advised that all the scholarly works produced by the University funds or by other public funds either at Central University of Haryana (CUH) or elsewhere with CUH affiliation are to be deposited in the University's open access institutional digital repository i.e. Gyan Pravah, henceforth called as CUH-IDR*.
 - 1.1.a. For depositing the scholarly works and institutional publications, the University shall set up CUH-IDR* using free and open source software (FOSS) systems* which support the Open Archives Initiative Protocol for Metadata Harvesting (OAI-PMH) and Open Archives Initiative- Object Reuse and Exchange (OAI-ORE) protocols for public good.
 - 1.1.b. The University shall register its IDR/ repository in OpenDOAR* and similar platforms* for more discoverability of the scholarly outputs, its availability and accessibility to the public.
 - 1.1.c. The University shall also set up a central harvester** using the OAI-PMH to harvest the publications of CUH researchers, faculty and students from the World Wide Web for the wider accessibility of the shared resources.
- 1.2. It is advised that all the archives, current/ future scholarly and grey literature viz., research articles, popular articles, book chapters, books, monographs, catalogues, conference proceedings, success stories, case studies, project reports, class/lecture notes, presentation slides, photos, videos, speeches, keynote addresses, patent grant publications, data sets etc., produced by the University or by the faculty/researchers/ students with CUH affiliation are to be made available in CUH-IDR.
- 1.3. Following funders' mandates (Eg. DST, DBT, etc.) or mandates of statutory bodies (Eg. UGC, AICTE, etc.), if any researchers/ students and faculty members of CUH have/had deposited their work/s in any open access repositories before the implementation of this policy, they are advised to deposit such works in the CUH-IDR.
- 1.4. In case of any copyright/ intellectual property rights issue/s with the data, information, or materials to be patented or commercialized, or where the promulgations would infringe a legal commitment by the University and/or the author, such data, information, or materials may be exempted from this Policy. However, after an embargo period once they are available in public domain/ open sharing/ archiving, the work/s is/are to be made accessible to the public via CUH-IDR.

**already complied and Gyan Pravah (IDR) is in place; ** in progress*

- 1.5.** All institutional publications of the University, journal articles, educational resources (text-books), edited books, book chapters, working papers, policy briefs, memorial lectures, research datasets, scientific data, final reports of sponsored projects, conference/ seminar proceedings, conference reports, information bulletins, newsletters, annual reports, audited annual accounts (which can be made public), booklets, convocation souvenirs and any other documents of institutional importance are to be made available in the CUH-IDR.
- 1.6.** Preferably, the institutional publications such as journals, text books, proceedings, etc. may be published with open licenses. The authors of the publications may retain copyright of the work/s.
- 1.7.** The University's open access contents/ documents shall be licensed for public use under the Creative Commons Attribution NonCommercial 4.0 International (CC-BY-NC-4.0) and the same shall be registered with SHERPA/ RoMEO database. The faculty members, researchers and students are advised to refer to SHERPA/ RoMEO to know about publishers' policies on copyright and self-archiving.
- 1.8.** Final reports of the completed/ awarded research projects, theses, dissertations, viz. Doctoral, Post-Doctoral, Minor/Major Research Projects, etc. produced in the University are to be deposited in the CUH-IDR and full-texts be made available after an embargo period (not later than 12 months). The UGC mandate of depositing of doctoral theses/ dissertations in INFLIBNET's ShodhGanga database shall also continue* .
- 1.9.** All the faculty, researchers, and students are encouraged to publish in open access journals which do not charge any article submission or processing charges. They are advised to publish their research outputs in those journals which allow self-archiving.
- 1.10.** All the faculty, researchers, and students are advised to use 'Author's Addendum' at the time of acceptance and while signing the publishers' copyright agreements mentioning the University's Open Access Policy to deposit the publicly funded research outputs publicly available. Therefore, the publishers should allow the final version of the author's peer-reviewed manuscript to be made available via CUH-IDR. And in case of any embargo, it should not be later than 6-8 months from publication date for making the deposits open to the public.

**already complied/ in place*

2. Rights and Permissions

The University's website content (meant for public access), print/ digital publications published with open licence, meta-data and full-texts deposited in repositories are recommended to be licensed for public use under the Creative Commons Attribution NonCommercial 4.0 International (CC-BY-NC-4.0) and unless otherwise noted, the users are free to copy, duplicate or reproduce, and distribute, display, or transmit without permission, and to make translations, adaptations or other derivative works, and to make non-commercial use of work under the following condition:

Attribution — Users must attribute the work in the manner specified by the author or licensor (but not in any way that suggests that they endorse you or your use of the work).

3. Implementation and Coordination

This modest policy is the first step in the journey towards declaration of openness. So *in the first phase, this policy acts as 'an advisory document' for the faculty and researchers/students* to support and actively participate in open access publishing, archiving and sharing as advised/ directed in this policy. In the second phase, in future, this policy shall be made fully operational and all concerned shall comply accordingly.

Pandit Deendayal Upadhyaya (PDU) Central Library of the University shall serve as the Nodal Office for the effective implementation of this Open Access Policy by coordinating with all concerned.

4. Advocacy

- 4.1. Open sharing of the scholarly output is not a one-time event as it requires advocacy and capacity building and compliance by all the concerned stakeholders. The PDU Central Library, Publication Division, and all relevant Departments/ Cells shall organize advocacy workshops/events periodically and take up collaborative work and capacity building programmes for faculty, researchers, repository administrators and all concerned on open access repositories, open access journals, application and usage of FOSS, open metrics in scholarly communication ecosystem, etc.
- 4.2. The PDU Central Library shall join all Schools, Departments and relevant Cells in leading the open access advocacy/movement and take on board other universities/ organizations/ open access advocates to influence and encourage sharing of publicly funded research for the larger benefit of all.

5. Amendments/ revisions

- 5.1.** To make this policy meaningful and relevant, the policy shall be revised/ amended from time to time based on the new public policies as and when finalized (by the relevant bodies), such as India's 5th Science, Technology and Innovation Policy (5th STIP), Biological Data Storage, Access and Sharing Policy of India (draft), UNESCO Recommendation on Open Science, 2021, etc.
- 5.2.** In case of any ambiguity, relevant rules/guidelines/statutes/others and the directions of the competent authority shall prevail and binding. In case of any clash in the domain of responsibilities contained in this policy, the competent authority shall take appropriate decision on case to case basis. In case of any inadvertent discrepancy/error is observed (although every care has been taken to avoid any sort of ambiguity/discrepancy) in this policy, the same may be brought to the notice of the competent authority/concerned branch/department for necessary action.

* * * * *

Sources:

- <https://openaccessindia.org/2013/page/2/?cv=1>
- [https://en.wikipedia.org/wiki/Embargo_\(academic_publishing\)?cv=1](https://en.wikipedia.org/wiki/Embargo_(academic_publishing)?cv=1)

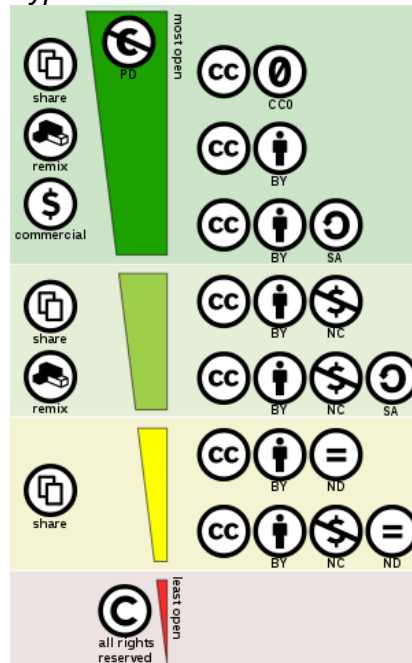
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GLOSSARY~

Creative Commons License/ Open Licenses

A Creative Commons (CC) license is one of several public copyright licenses that enable the free distribution of an otherwise copyrighted "work". A CC license is used when an author wants to give other people the right to share, use, and build upon a work that the author has created. CC provides an author flexibility (for example, they might choose to allow only non-commercial uses of a given work) and protects the people who use or redistribute an author's work from concerns of copyright infringement as long as they abide by the conditions that are specified in the license by which the author distributes the work.

Types of CC licenses:



Creative commons license spectrum between public domain (top) and all rights reserved (bottom). Left side indicates the use-cases allowed, right side the license components. The dark green area indicates Free Cultural Works compatible licenses, the two green areas compatibility with the Remix culture.

Creative Commons Attribution 4.0 (CC-BY 4.0) Unported License

One of the Creative Commons licences: Using this license, you are free to: Share — copy and redistribute the material in any medium or format
Adapt — remix, transform, and build upon the material
The licensor cannot revoke these freedoms as long as you follow the license terms.

Embargo

In academic publishing, an embargo is a period during which access to academic journals is not allowed to users who have not paid for access (or have access through their institution). The purpose of this is to ensure publishers have

revenue to support their activities, although the impact of embargoes on publishers is hotly debated, with some studies finding no impact while publisher experience suggests otherwise. A 2012 survey of libraries by the Association of Learned, Professional, and Society Publishers on the likelihood of journal cancellations in cases where most of the content was made freely accessible after six months suggests there would be a major negative impact on subscriptions, but this result has been debated.

Free and open source software (FOSS)

is software that is both free software and open-source software where anyone is freely licensed to use, copy, study, and change the software in any way, and the source code is openly shared so that people are encouraged to voluntarily improve the design of the software.

Grey literature

is materials and research produced by organizations outside of the traditional commercial or academic publishing and distribution channels. Common grey literature (or gray literature) publication types include reports (annual, research, technical, project, etc.), working papers, government documents, white papers and evaluations. Organizations that produce grey literature include government departments and agencies, civil society or non-governmental organizations, academic centres and departments, and private companies and consultants.

Institutional repository/
Institutional Digital repository

is an archive for collecting, preserving, and disseminating digital copies of the intellectual output of an institution or the people therein.

Metadata

is "data that provides information about other data", but not the content of the data, such as the text of a message or the image itself. There are many distinct types of metadata, including: Descriptive metadata — the descriptive information about a resource. It is used for discovery and identification.

Metadata harvesting

is the automated collection of metadata descriptions from different sources to create useful aggregations of metadata and related services.

Open access

is a set of principles and a range of practices through which research outputs are distributed online, free of cost or other access barriers.

Open access repository

is an open platform for archiving, preserving, and disseminating digital copies of the intellectual output of an institution or the people therein.

Open Archives Initiative- Object Reuse and Exchange (OAI-ORE)	<i>OAI-ORE defines standards for the description and exchange of aggregations of Web resources. These aggregations, sometimes called compound digital objects, may combine distributed resources with multiple media types including text, images, data, and video. The goal of these standards is to expose the rich content in these aggregations to applications that support authoring, deposit, exchange, visualization, reuse, and preservation.</i>
Open Archives Initiative Protocol for Metadata Harvesting (OAI-PMH)	<i>is a protocol developed for harvesting metadata descriptions of records in an archive so that services can be built using metadata from many archives. An implementation of OAI-PMH must support representing metadata in Dublin Core, but may also support additional representations. The protocol is usually just referred to as the OAI Protocol.</i>
OpenDOAR	<i>is the quality-assured, global Directory of Open Access Repositories. You can search and browse through thousands of registered repositories based on a range of features, such as location, software or type of material held.</i>
SHERPA/ RoMEO	<i>is an online resource that aggregates and analyses publisher open access policies from around the world and provides summaries of publisher copyright and open access archiving policies on a journal-by-journal basis. Eg: https://v2.sherpa.ac.uk/id/publication/7405</i>
ShodhGanga	<i>is an online platform for research students to deposit their Ph.D. theses and make them available to the entire scholarly community in open access. Access already uploaded theses of CUH: https://shodhganga.inflibnet.ac.in/handle/10603/186055</i>

~Sources

- [https://ucsd.libguides.com/copyright/CCLicenses#:~:text=A%20Creative%20Commons%20\(CC\)%20license,\(the%20author\)%20have%20created.](https://ucsd.libguides.com/copyright/CCLicenses#:~:text=A%20Creative%20Commons%20(CC)%20license,(the%20author)%20have%20created.)
- https://en.wikipedia.org/wiki/Creative_Commons_license
- <https://creativecommons.org/licenses/by-sa/4.0/>
- [https://en.wikipedia.org/wiki/Embargo_\(academic_publishing\)](https://en.wikipedia.org/wiki/Embargo_(academic_publishing))
- https://en.wikipedia.org/wiki/Free_and_open-source_software
- https://en.wikipedia.org/wiki/Grey_literature
- <https://www.jisc.ac.uk/sherpa#:~:text=Sherpa%20Romeo%20is%20an%20online,journal%2Dby%2Djournal%20basis.>
- <https://shodhganga.inflibnet.ac.in:8443/jspui>
- <https://www.openarchives.org/ore/>
- <https://libguides.usc.edu/c.php?g=392454&p=4001607#:~:text=OpenDOAR%20is%20the%20quality%2Dassured,or%20type%20of%20material%20held.>

SUGGESTED READING

(Click on titles or scan QR Code)

List of Indian open access institutional repositories registered on OpenDOAR



List of Open Access Policies of Indian institutions



National Data Sharing and Accessibility Policy (2012)



Open Access India. (2017, February 12). National Open Access Policy of India (Draft) Ver. 3. Zenodo.



CSIR Open Access Mandate



DBT-DST Open Access Policy (2014)



Government Open Data License – India (2016)



National Data Sharing and Accessibility Policy (2012)



ICAR Open Access Policy



Open Access Overview



Know Your Rights as the Author; Scrutinized the Publication Agreement; A Balanced Approach to Copyright Management



Open Access Policy Guidelines and Template for Funders (2015)



San Francisco Declaration on Research Assessment (DORA)



Science, Technology and Innovation Policy (2013)



The academic, economic and societal impacts of open access: an evidence-based review



Open access policy concerning UNESCO publications





हरियाणा केंद्रीय विश्वविद्यालय
CENTRAL UNIVERSITY OF HARYANA
NAAC ACCREDITED 'A' GRADE UNIVERSITY

MINUTES

35th Meeting of the Academic Council

Dated: 15/09/2022 at 11:00 AM

	<p>studying, or not to send him/her in the same class in which he has been studying, or not to send him/her for the University Examination, in case he did not appear at the tests aforesaid or his performance was not satisfactory. The Head of the Department shall have power to strike off the name of a student who is grossly irregular in attendance in spite of warning, or when the absence of the student is for such a long period that he cannot put in requisite percentage of attendance.</p>	<p>studying, or not to send him/her in the same class in which he has been studying, or not to send him/her for the University Examination, in case he did not appear at the tests aforesaid or his performance was not satisfactory. Provided that the Head of the Department shall struck off the name of a student from roll who is absent continuously without permission for 10 or more working days.</p> <p>The student can be re-admitted with the approval of the Vice-Chancellor on the recommendation of the Head of the Department/ Staff Council on payment of Rs. 1000/- if re-admission is sought within 07 days after struck-off the name. The re-admission fee increases by Rs. 100/- per day thereafter.</p> <p>Every Department is required to display the attendance of enrolled students at the end of every month on the Notice Board of the concerned Department. In case of unreasonable absence, the parents/guardian of the students shall be intimated by the Head of the Department/Teacher nominated by the Head of the Department.</p>
35.14	<p>To discuss and resolve the matter related to excess intake (93 against 60 sanctioned seats vide BCI letter no. BCI:D:30:2021 (LE/Std. 9th Jan. 2021) dated 22-01-2021) in LL.B. 3-Year Programme during the Academic Session-2020-21, by the Department of Law.</p> <p style="text-align: right;">(Annexure-XII, Page-288 to 294)</p> <p>Resolution Passed:</p> <p>When the Item was taken up for discussion, Dean, School of Law submitted a letter No. BCI:1265:2022(LE) dated 12-09-2022 (Annexure-XIII, Page-295) received from Bar Council of India, regarding regularisation of excess admissions made during session-2020-21. BCI has intimated that the issue of regularisation of excess admission during session-2020-21 shall be put before the Standing Committee of the Bar Council of India in its meeting proposed to be held in the month of September and the decision shall be forwarded to the University. Therefore it was resolved to deliberate on the issue, if required, after the outcome of the meeting of the Standing Committee of the BCI in this regard and hence item is withdrawn.</p>	
35.15	<p>To consider the draft "Open Access Policy of Central University of Haryana", as recommended by the NIRF (Overall) Committee.</p> <p style="text-align: right;">(Annexure-XIV, Page-296 to 310)</p> <p>Resolution Passed:</p> <p>Resolved that the Regulations be adopted and an action plan be prepared for implementation.</p>	

NOTES



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